

Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

U.S. APPLICATION NUMBER NO. FIRST NAMED-APPLICANT ATTY. DOCKET NO. 10/019,335 Toshio Ota SHIM014

INTERNATIONAL APPLICATION NO.

PCT/JP00/04517

PRIORITY DATE I.A. FILING DATE 07/06/2000

07/08/1999

CONFIRMATION NO. 1341 371 FORMALITIES LETTER

OC000000008530946

Karl Bozicevic Bozicevic Field & Francis 200 Middlefield Road Suite 200 Menlo Park, CA 94025

Date Mailed: 07/29/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- . U.S. Basic National Fee
- **Priority Document**
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- · Copy of IPE Report
- · Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Request for Immediate Examination

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - 4TH INVENTOR NAME SPELLED DIFFERENT. PUB.LISH SPELLING SAITO, KAORU, ON DEC. THE NAME SPELLED OTSUKA KAOUR.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be xtended under 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 305-3736

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/019,335	PCT/JP00/04517	SHIM014

FORM PCT/DO/EO/916 (371 Formalities Notice)